FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 2-2005) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 3780

MARGARY RES

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/585047

INTERNATIONAL APPLICATION NO. PCT/EP 2004/053731

INTERNATIONAL FILING DATE 12/30/2004

PRIORITY DATE CLAIMED 01/02/2004

TITLE OF INVENTION

| | | DLE ROTOR FOR AN ELECTRICAL MACHINE | | | | | |
|--------|---|---|--|--|--|--|--|
| | | T(S) FOR DO/EO/US him LUTZ, Reinhard MEYER, Guenter RADEMACHER | | | | | |
| Applic | ant he | erewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | |
| 3. | × | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6 (9) and (24) indicated below. | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | |
| | | a. \square is attached hereto (required only if not communicated by the International Bureau). | | | | | |
| | | b. 🛮 has been communicated by the International Bureau. | | | | | |
| | | c. \square is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | |
| | | a. 🛭 is attached hereto. | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | |
| 7. | ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) | | | | | | |
| | | a. \square are attached hereto (required only if not communicated by the International Bureau). | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | |
| | | c. \square have not been made; however, the time limit for making such amendments has NOT expired. | | | | | |
| | | d. \square have not been made and will not be made. | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). | | | | | |
| 11. | | A copy of the International Preliminary Examination Report (PCT/IPEA/409). | | | | | |
| 12. | \boxtimes | A copy of the International Search Report (PCT/ISA/210). | | | | | |
| Ite | ems 1 | 3 to 23 below concern document(s) or information included: | | | | | |
| 13. | \boxtimes | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | |
| 14. | \boxtimes | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 15. | \boxtimes | A FIRST preliminary amendment. | | | | | |
| 16. | | A SECOND or SUBSEQUENT preliminary amendment. | | | | | |
| 17. | | A substitute specification. | | | | | |
| 18. | | A power of attorney and/or change of address letter. | | | | | |
| 19. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | |
| 20. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | |
| 21. | | A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). | | | | | |
| 22. | × | Express Mail Label No. EV 261934302 US | | | | | |
| 23. | | Other items or information: | | | | | |
| | | | | | | | |

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP 2004/053731 3780 **CALCULATIONS PTO USE** The following fees are submitted: \$300.00 \$300 Examination fee If International preliminary examination report prepared by USPTO and all claims \$200.00 satisfy provisions of PCT Article 33(1)-(4)..... \$100 \$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to \$100 \$400 \$500.00 \$500 TOTAL OF 24, 25 and 26 = \$1,000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or RATE **Total Sheets** Extra Sheets fraction thereof (round up to a whole /50 = - 100 = \$250.00 \$0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). NUMBER FILED NUMBER EXTRA RATE CLAIMS \$0.00 Total claims 13 - 20 = 0 \$50.00 \$0.00 Independent claims - 3= 0 x \$200.00 MULTIPLE DEPENDENT CLAIMS (if applicable) \$360.00 \$0.00 TOTAL OF ABOVE CALCULATIONS = \$ \$1,000.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$0.00 reduced by 1/2. \$1,000.00 SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ \$0.00 the earliest claimed priority date (37 CFR 1.492(f)). \$ \$1,000.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$40.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property \$1,040.00 **TOTAL FEES ENCLOSED =** Amount to be \$ Amount to be \$ a. A check in the amount of \$ to cover the above fees is enclosed. \$1,040.00 194675 to cover the above fees. b. X Please charge my Deposit Account No. in the amount of A duplicate copy of this sheet is enclosed. c. X The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment 194675 . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: STRIKER, STRIKER & STENBY SIGNATURE 103 EAST NECK ROAD MICHAEL J. STRIKER **HUNTINGTON, NY 11743** NAME 27233 REGISTRATION NUMBER

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